## by

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# ABSTRACT <br> OPTICAL AMPLIFIERS FOR $10 \mathrm{~Gb} / \mathrm{s}$ RECIRCULATING-LOOP TESTBED 

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This Master's thesis deals with building experimental setup for characterization of novel nonlinear optical devices for fiber communication.

The first part of the thesis deals with the description of the theoretical basis for operation of a particular type of such nonlinear optical devices, namely a parametric amplifier. Document includes deriving and solving the equations for parametric amplification in optical fiber when signal, idler and pump beams are linearly copolarized. We consider both non-degenerate and degenerate pump cases for arbitrary phase mismatch.

In the second part of the thesis we present the design and experimental implementation of electrical interface between commercial ultra-long-haul-system
erbium-doped fiber amplifiers (EDFAs) and the laser diode drivers and thermo electric coolers used in our lab. We also describe the design and implementation of the electronic controller for EDFA's mid-stage variable optical attenuator that allows us to operate the EDFA at different input signal power levels. The interface and controller described in this thesis make it possible to use these EDFAs in a recirculating-loop testbed for characterization of novel nonlinear-optical devices.

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## CHAPTER 1

## INTRODUCTION

Tremendous progress in lightwave communications over the last decade has been closely related to the underlying advances in optical amplification technologies. Erbium-doped fiber amplifiers (EDFAs) have extended the reach of unregenerated transmission 10 -fold and enabled processing of multiple wavelengths simultaneously, leading to the WDM revolution. The need for reduction of amplification noise below the noise-figure (NF) quantum limit was answered in late 1990s by progress the distributed Raman amplifiers which extended the link reach to several thousand kilometers, enabled higher bit rates and spectral efficiencies. By 2001-2002, Raman amplifier technology has become finally perfected by reaching the fundamental quantum limit of ideal distributed phase-insensitive amplifier (PIA) that provides the optimal trade-off between optical nonlinearity and spontaneous emission noise by balancing the local gain and loss coefficients at every point within the transmission span. Such performance, quantified by the product of overall span NF and nonlinear phase-shift, was shown to be within a fraction of a dB from its fundamental limit by the experiments using effective-area management in hybrid dispersion-managed fiber spans [1-3], and using the combination of bi-directional and second-order Raman pumping in a single-mode-fiber span [4]. Any further reduction in the span NF-nonlinearity product will require a novel revolutionary technology that is not bound by the quantum-
mechanical restrictions on distributed amplifier noise. Since the fiber capacity has a hard upper bound due, in part, to nonlinear coupling between the signals and noise [5], the search for this noise-mitigating technology is imperative for future systems.

Such promising technology is the phase-sensitive amplification. A lumped phasesensitive amplifier (PSA) can amplify the signals without adding any noise, i.e. with NF of 0 dB , as opposed to the $3-\mathrm{dB}$ NF limit of a lumped PIA (e.g. EDFA or Raman) [6]. Such a lumped noiseless fiber amplifier has been demonstrated, using nonlinear optical loop mirror [7, 8], based on pioneering works [9-11] on bulk-crystal PSAs.

Combining the properties of the distributed and phase-sensitive amplification would enable reaching the ultimate noise limit of linear amplification ("ideal distributed PSA limit") and give a revolutionary $3-\mathrm{dB}$ signal-to-noise ratio advantage over the quantum limit of an ideal distributed PIA. When the thermal noise of Raman amplifier (additional 0.5 dB ) and double Rayleigh backscattering noise (additional 0 dB to over 3 dB , depending on signal power) are taken into account, the actual advantage of the distributed PSA increases to $3.5-7 \mathrm{~dB}$. However, because of the required distributed amplification in the transmission fiber span, the nonlinear optical interferometers, employed in the lumped case, cannot be used. The alternative approach involves parametric amplification in transmission fiber (for distributed amplification) or in highly-nonlinear fiber (for lumped amplification), where the parametric process is forced into a phase-sensitive regime by excitation of both signal and idler beams at the input [12].

In the first chapter of this thesis we show that parametric amplifier in optical fiber can indeed serve as a phase-sensitive amplifier. We derive and find the solution for the parametric amplification equations when the pumps, signal and idler are linearly copolarized. We consider both degenerate and non-degenerate pump cases with arbitrary phase mismatch.

The second chapter deals with building the experimental infrastructure needed for characterization of parametric amplifiers and other novel nonlinear optical devices for optical communications. It describes the outline of the recirculating-loop testbed, as well as the design and implementation of

- an electrical interface connecting our lab's EDFAs to the laser diode drivers and thermo-electric coolers, and
- an electronic circuit controlling the variable optical attenuator that enables the EDFA to operate at various input signal power levels.


## CHAPTER 2

## THEORY OF PARAMETRIC AMPLIFICATION

### 2.1 Introduction

Parametric gain optical fibers can be used to make fiber-optic amplifiers and oscillators. Such devices have attracted considerable attention in the context of a phenomenon known as squeezing of quantum noise [7]. Parametric amplifiers may be used for signal amplification in fiber-optic communication systems. Parametric amplifiers have a moderately wide bandwidth ( $\sim 1 \mathrm{THz}$ ) but the frequency shift can be as large as $\sim 100 \mathrm{THz}$ [13]. This permits considerable flexibility in the choice of a pump while, at the same time, the bandwidth is large enough for many applications.

When the two pumps have the same frequency, we have a degenerate pump case, and when they have different frequencies, we have a non-degenerate pump case. In this chapter, we will show that if only the signal is present at the input, then the amplifier operates in a phase-insensitive regime; if both signal and idler are present at the input, phase-sensitive amplification can be obtained.

We will start with Maxwell's equations and deal with the non-degenerate pump case in 2.2 and deal with the degenerate pump case in 2.3. Pumps, signal and idler are considered to be linearly co-polarized.

### 2.2 Non-Degenerate Pump Case

In the non-degenerate case, two photons at frequencies $\omega_{1}$ and $\omega_{2}$ are annihilated with simultaneous creation of two photons at frequencies $\omega_{\mathrm{s}}$ and $\omega_{\mathrm{i}}$ such that $\omega_{1}+\omega_{2}=\omega_{s}+\omega_{i}$.

We define the electric field as
$\bar{e}=\bar{E} e^{-i \omega t}+$ c.c.,
where $\bar{E}$ slowly varies with time.

## Co-polarized four-wave mixing:

The pumps, signal and idler are linearly co-polarized:
$E_{y}=0$,

$$
E_{x}=E_{1} e^{-i \omega_{1} t}+E_{2} e^{-i \omega_{2} t}+E_{s} e^{-i \omega_{s} t}+E_{i} e^{-i \omega_{i} t}
$$

Nonlinear polarization of the medium has the form

$$
P_{x}=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left|E_{x}\right|^{2} E_{x}, \text { where } A=6 \chi_{1122}^{(3)} \text { and } B=6 \chi_{1221}^{(3)} .
$$

Let $\omega_{1}$ and $\omega_{2}$ be symmetric about $\omega$ :

$$
\omega_{1}=-\omega_{2} \text { and } \omega_{s}=-\omega_{i}
$$

The condition for four-wave mixing is $\omega_{s}+\omega_{i}=\omega_{1}+\omega_{2}$. We are interested in the polarization at frequency $\omega_{s}$. The combinations that can produce $\omega_{s}$ are

$$
\begin{aligned}
& \omega_{1}-\omega_{1}+\omega_{s} \\
& \omega_{2}-\omega_{2}+\omega_{s} \\
& \omega_{i}-\omega_{i}+\omega_{s}
\end{aligned}
$$

$$
\begin{aligned}
& \omega_{s}-\omega_{s}+\omega_{s} \\
& \omega_{1}+\omega_{2}-\omega_{i} .
\end{aligned}
$$

Therefore the polarization along $\omega_{s}$ is given by:

$$
P_{x}\left(\omega_{s}\right)=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|E_{s}\right|^{2}+2\left(\left|E_{1}\right|^{2}+\left|E_{2}\right|^{2}+\left|E_{i}\right|^{2}\right)\right] E_{s}+2 E_{1} E_{2} E_{i}^{*}\right\}
$$

Similarly, the polarization at frequency $\omega_{i}$ is given by the following equation (interchanging subscripts $s$ and $i$ ):

$$
P_{x}\left(\omega_{i}\right)=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|E_{i}\right|^{2}+2\left(\left|E_{1}\right|^{2}+\left|E_{2}\right|^{2}+\left|E_{s}\right|^{2}\right)\right] E_{i}+2 E_{1} E_{2} E_{s}^{*}\right\} .
$$

The combinations that can produce $\omega_{1}$ are

$$
\begin{aligned}
& \omega_{1}-\omega_{1}+\omega_{1}, \\
& \omega_{2}-\omega_{2}+\omega_{1}, \\
& \omega_{i}-\omega_{i}+\omega_{1}, \\
& \omega_{s}-\omega_{s}+\omega_{1}, \\
& \omega_{s}+\omega_{i}-\omega_{2} .
\end{aligned}
$$

Therefore the polarization along $\omega_{1}$ is given by:

$$
P_{x}\left(\omega_{1}\right)=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|E_{1}\right|^{2}+2\left(\left|E_{2}\right|^{2}+\left|E_{s}\right|^{2}+\left|E_{i}\right|^{2}\right)\right] E_{1}+2 E_{s} E_{i} E_{2}^{*}\right\} .
$$

Similarly, the polarization at frequency $\omega_{2}$ is given by the following equation (interchanging subscripts 1 and 2):
$P_{x}\left(\omega_{2}\right)=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|E_{2}\right|^{2}+2\left(\left|E_{1}\right|^{2}+\left|E_{s}\right|^{2}+\left|E_{i}\right|^{2}\right)\right] E_{2}+2 E_{s} E_{i} E_{1}^{*}\right\}$.

## Wave Equation:

$\nabla^{2} \bar{e}-\frac{1}{c^{2}} \frac{\partial^{2} \bar{e}}{\partial t^{2}}=\mu_{0} \frac{\partial^{2} \bar{p}}{\partial t^{2}}=\mu_{0} \frac{\partial^{2}}{\partial t^{2}}\left(\bar{p}^{l}+\bar{p}^{n l}\right)$,
$\bar{e}=e^{-i \omega t} \sum_{j} \bar{E}_{j} e^{-i \omega_{j} t}+c . c .$,
$\bar{p}=e^{-i \omega t} \sum_{j} \bar{P}_{j} e^{-i \omega_{j} t}+c . c .$,
$\bar{P}_{j}=\bar{P}_{j}^{l}+\bar{P}_{j}^{n l}$,
$\bar{P}_{j}^{l}=\varepsilon_{0} \chi\left(\omega+\omega_{j}\right) \bar{E}_{j}$,
Substituting equations (2)-(5) in (1) gives

$$
\begin{align*}
& e^{-i \omega t} \sum_{j}\left\{\nabla^{2} E_{j}+\frac{\left(\omega+\omega_{j}\right)^{2}}{c^{2}} E_{j}+\mu_{0}\left(\omega+\omega_{j}\right)^{2}\left[\varepsilon_{0} \chi\left(\omega+\omega_{j}\right) E_{j}+P_{j}^{n l}\right]\right\} e^{-i \omega_{j} t}+c . c .=0 \\
& \sum_{j}\left[\nabla^{2} E_{j}+\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}} E_{j}+\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l}\right] e^{-i\left(\omega+\omega_{j}\right) t}+c . c .=0 \\
& \nabla^{2} E_{j}+\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}} E_{j}=-\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l} \tag{6}
\end{align*}
$$

where $\nabla^{2}=\frac{\partial^{2}}{\partial x^{2}}+\frac{\partial^{2}}{\partial y^{2}}+\frac{\partial^{2}}{\partial z^{2}}$ and $n_{j}^{2}=1+\chi\left(\omega+\omega_{j}\right)$.
We will look for the solution in the form of

$$
\begin{equation*}
E_{j}=F_{j}(x, y) A_{j}(z) e^{i \beta_{j} z} \tag{7}
\end{equation*}
$$

where $F_{j}(x, y)$ is the optical fiber mode profile and $\beta_{j}$ is its propagation constant.

## When there is no nonlinearity:

$$
\begin{align*}
& A_{j}(z)=\text { const } \\
& \nabla^{2} E_{j}=\left(\nabla_{x, y}^{2} F_{j}\right) A_{j} e^{i \beta_{j} z}-\beta_{j}^{2} F_{j} A_{j} e^{i \beta_{j} z} \tag{8}
\end{align*}
$$

Substituting (7), (8) in (6) we get

$$
\begin{equation*}
\nabla_{x, y}^{2} F_{j}+\left[\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}}-\beta_{j}^{2}\right] F_{j}=0 . \tag{9}
\end{equation*}
$$

## Nonlinear case:

$A_{j}(z)$ is slowly varying with $z$ :

$$
\begin{equation*}
\nabla^{2} E_{j}=A_{j}(z) e^{i \beta_{j} z} \nabla_{x, y}^{2} F_{j}+F_{j}\left(\frac{\partial^{2} A_{j}}{\partial z^{2}}+2 i \beta_{j} \frac{\partial A_{j}}{\partial z}-\beta_{j}^{2} A_{j}\right) e^{i \beta_{j} z} . \tag{10}
\end{equation*}
$$

Slowly-Varying-Envelope Approximation: $\frac{\partial^{2} A_{j}}{\partial z^{2}} \ll \beta_{j} \frac{\partial A_{j}}{\partial z}$, which means that $\frac{\partial A_{j}}{\partial z}$
changes over the length scale $\Lambda \gg \lambda$. Substituting (10) and (7) in (6) and assuming $\frac{\partial^{2} A_{j}}{\partial z^{2}}=0$, we have:
$e^{i \beta_{j} z}\left[A_{j} \nabla_{x, y}^{2}+2 i \beta_{j} \frac{\partial A_{j}}{\partial z}-\beta_{j}^{2} A_{j}+\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}} A_{j}\right] F_{j}=-\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l}$,
Substituting result from (9) in (11), we obtain
$\Rightarrow 2 i \beta_{j} F_{j} \frac{\partial A_{j}}{\partial z}=-\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l} e^{-i \beta_{j} z}$.

Since $\omega_{j} \ll \omega$, we can assume $\omega+\omega_{j} \approx \omega$.
Therefore

$$
2 i \beta_{s} F_{s} \frac{\partial A_{s}}{\partial z}=-\mu_{0} \omega^{2} P_{s}^{n l} e^{-i \beta_{s} z} .
$$

Substituting the result of $P_{x}\left(\omega_{s}\right)$ and (7) in the above, we have the following right-hand side:

$$
\begin{aligned}
=-\mu_{0} \omega^{2} \varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|F_{s}\right|^{2}\left|A_{s}\right|^{2}+2\left(\left|F_{1}\right|^{2}\left|A_{1}\right|^{2}\right.\right.\right. & \left.\left.+\left|F_{2}\right|^{2}\left|A_{2}\right|^{2}+\left|F_{i}\right|^{2}\left|A_{i}\right|^{2}\right)\right] F_{s} A_{s} \\
& \left.+2 F_{1} F_{2} F_{i}^{*} A_{1} A_{2} A_{i}^{*} e^{i\left(\beta_{1}+\beta_{2}-\beta_{i}-\beta_{s}\right) z}\right\} .
\end{aligned}
$$

Let us multiply both sides with $F_{s}^{*}$ and integrate over $\mathrm{d} x \mathrm{~d} y$. Then

$$
\begin{align*}
& \left|A_{j}\right|^{2}=W_{j}=\operatorname{power}[\mathrm{Watts}] \\
& \int\left|F_{j}(x, y)\right|^{2} \mathrm{~d} x \mathrm{~d} y=\frac{1}{2 \varepsilon_{0} n_{j} c}, \\
& {\left[\left|F_{j}\right|^{2}\right]=\frac{1}{\left(2 \varepsilon_{0} n_{j} c\right) \times[\text { Area }]} \text { - dimension of }\left|F_{j}\right|^{2}, \text { and }} \\
& \begin{array}{r}
2 i \beta_{s} \frac{\partial A_{s}}{\partial z}=-\frac{\omega^{2}}{c^{2}}\left(A+\frac{B}{2}\right) \frac{1}{\int\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y}\left\{\left[\left|A_{s}\right|^{2}+\int\left|F_{s}\right|^{4} \mathrm{~d} x \mathrm{~d} y+\right.\right. \\
\left.\quad+2\left(\left|A_{1}\right|^{2} \int\left|F_{1}\right|^{2}\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y+\left|A_{2}\right|^{2} \int\left|F_{2}\right|^{2}\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y+\left|A_{i}\right|^{2} \int\left|F_{i}\right|^{2}\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y\right)\right] A_{s} \\
\left.+2 A_{1} A_{2} A_{i}^{*} \int F_{1} F_{2} F_{i}^{*} F_{s}^{*} \mathrm{~d} x \mathrm{~d} y e^{i \Delta \beta z}\right\}
\end{array}
\end{align*}
$$

Here $\Delta \beta=\beta_{1}+\beta_{2}-\beta_{s}-\beta_{i}$ is linear phase mismatch,

$$
\begin{equation*}
\frac{\int F_{m} F_{n} F_{k}^{*} F_{l}^{*} \mathrm{~d} x \mathrm{~d} y}{\int\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y} \approx \frac{\int\left|F_{s}\right|^{4} \mathrm{~d} x \mathrm{~d} y}{\int\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y}=\frac{1}{2 \varepsilon_{0} n_{s} c A_{\mathrm{eff}}} \tag{13}
\end{equation*}
$$

and $A_{\text {eff }}$ is the effective area of the fiber. Substituting equation (13) in (12) and solving for $\frac{\partial A_{s}}{\partial z}$, we have

$$
\begin{aligned}
\frac{\partial A_{s}}{\partial z} & =\frac{i \omega}{2 n_{s} c} \frac{\left(A+\frac{B}{2}\right)}{2 \varepsilon_{0} n_{s} c A_{\text {eff }}}\left\{\left[\left|A_{s}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{2}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{s}+2 A_{1} A_{2} A_{i}^{*} e^{i \Delta \beta z}\right\}= \\
& =\frac{i n_{2}^{l} \omega}{c A_{\text {eff }}}\left\{\left[\left|A_{s}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{2}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{s}+2 A_{1} A_{2} A_{i}^{*} e^{i \Delta \beta z}\right\},
\end{aligned}
$$

where $n_{2}^{l}=\frac{A+B / 2}{4 \varepsilon_{0} n_{s}^{2} c}$. Then

$$
\begin{equation*}
\frac{\partial A_{s}}{\partial z}=i \gamma\left\{\left[\left|A_{s}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{2}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{s}+2 A_{1} A_{2} A_{i}^{*} e^{i \Delta \beta z}\right\}, \tag{14}
\end{equation*}
$$

where $\gamma$ is nonlinear constant given by $\gamma=\frac{n_{2}^{l} \omega}{c A_{\text {eff }}}$.
Similarly,

$$
\begin{align*}
& \frac{\partial A_{i}}{\partial z}=i \gamma\left\{\left[\left|A_{i}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{2}\right|^{2}+\left|A_{s}\right|^{2}\right)\right] A_{i}+2 A_{1} A_{2} A_{s}^{*} e^{i \Delta z z}\right\},  \tag{15}\\
& \frac{\partial A_{1}}{\partial z}=i \gamma\left\{\left[\left|A_{1}\right|^{2}+2\left(\left|A_{2}\right|^{2}+\left|A_{s}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{1}+2 A_{s} A_{i} A_{2}^{*} e^{-i \Delta \beta z}\right\},  \tag{16}\\
& \frac{\partial A_{2}}{\partial z}=i \gamma\left\{\left[\left|A_{2}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{s}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{2}+2 A_{s} A_{i} A_{1}^{*} e^{-i \Delta \beta z}\right\} . \tag{17}
\end{align*}
$$

## Undepleted pump approximation:

$$
\begin{equation*}
A_{s, i} \ll A_{1,2}, \tag{18}
\end{equation*}
$$

hence we can neglect the change in pump power due to parametric interaction.
Substituting the result from (18) in (16) and (17), we have

$$
\begin{align*}
& \frac{\partial A_{1}}{\partial z}=i \gamma\left(\left|A_{1}\right|^{2}+2\left|A_{2}\right|^{2}\right) A_{1}  \tag{19}\\
& \frac{\partial A_{2}}{\partial z}=i \gamma\left(\left|A_{2}\right|^{2}+2\left|A_{1}\right|^{2}\right) A_{2} \tag{20}
\end{align*}
$$

We have $\left|A_{1,2}(z)\right|^{2}=W_{1,2}=$ const. Substituting this value in (19), (20), (14) and (15), we have

$$
\begin{align*}
& \frac{\partial A_{1}}{\partial z}=i \gamma\left(W_{1}+2 W_{2}\right) A_{1},  \tag{21}\\
& \Rightarrow A_{1}(z)=A_{1}(0) e^{i \gamma\left(W_{1}+2 W_{2}\right) z},  \tag{22}\\
& \frac{\partial A_{2}}{\partial z}=i \gamma\left(W_{2}+2 W_{1}\right) A_{2},  \tag{23}\\
& \Rightarrow A_{2}(z)=A_{2}(0) e^{i \gamma\left(W_{2}+2 W_{1}\right) z}, \tag{24}
\end{align*}
$$

and the signal and idler equations

$$
\begin{align*}
& \frac{\partial A_{s}}{\partial z}=i \gamma\left[\left(2 W_{1}+2 W_{2}\right) A_{s}+2 A_{1} A_{2} A_{i}^{*} e^{i \Delta \beta z}\right],  \tag{25}\\
& \frac{\partial A_{i}}{\partial z}=i \gamma\left[\left(2 W_{1}+2 W_{2}\right) A_{i}+2 A_{1} A_{2} A_{s}^{*} e^{i \Delta \beta z}\right] . \tag{26}
\end{align*}
$$

Let $A_{s}=B_{s}(z) e^{2 i \gamma\left(W_{1}+W_{2}\right) z}$,
and $A_{i}=B_{i}(z) e^{2 i \gamma\left(W_{1}+W_{2}\right) z}$.

Then

$$
\begin{align*}
& \frac{\partial B_{s}}{\partial z}=2 i \gamma \sqrt{W_{1} W_{2}} B_{i}^{*} e^{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right] z},  \tag{29}\\
& \frac{\partial B_{i}}{\partial z}=2 i \gamma \sqrt{W_{1} W_{2}} B_{s}^{*} e^{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right] z} . \tag{30}
\end{align*}
$$

Here we assume $A_{1,2}(0)=\sqrt{W_{1,2}}$, i.e. the initial pump phases are zero.

Let $B_{s}=B_{s}^{\prime} e^{\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right] z}{2}}$
and $B_{i}=B_{i}^{\prime} e^{\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right] z}{2}}$.
Then

$$
\begin{align*}
& \frac{\partial B_{s}^{\prime}}{\partial z}=-\frac{i}{2}\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right] B_{s}^{\prime}+2 i \gamma \sqrt{W_{1} W_{2}} B_{i}^{\prime *}  \tag{33}\\
& \frac{\partial B_{i}^{\prime *}}{\partial z}=-2 i \gamma \sqrt{W_{1} W_{2}} B_{s}^{\prime}+\frac{i}{2}\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right] B_{i}^{\prime *} \tag{34}
\end{align*}
$$

Equations (33), (34) can be represented in matrix form as

$$
\begin{align*}
& \frac{\mathrm{d}}{\mathrm{~d} z}\left[\begin{array}{c}
B_{s}^{\prime} \\
B_{i}^{\prime *}
\end{array}\right]=\left[\begin{array}{cc}
\frac{-i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2} & 2 i \gamma \sqrt{W_{1} W_{2}} \\
-2 i \gamma \sqrt{W_{1} W_{2}} & \frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2}
\end{array}\right]\left[\begin{array}{c}
B_{s}^{\prime} \\
B_{i}^{\prime *}
\end{array}\right] .  \tag{35}\\
& \text { Let } \mathrm{M}=\left[\begin{array}{cc}
\frac{-i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2} & 2 i \gamma \sqrt{W_{1} W_{2}} \\
-2 i \gamma \sqrt{W_{1} W_{2}} & \frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2}
\end{array}\right] .
\end{align*}
$$

To find the eigenvalues of Eq. (35), we need to solve the following algebraic equation:

$$
\begin{align*}
& \operatorname{det}(\mathrm{M}-\lambda \mathrm{I})=0, \quad \text { or } \\
& \left|\begin{array}{cc}
-\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2}-\lambda & 2 i \gamma \sqrt{W_{1} W_{2}} \\
-2 i \gamma \sqrt{W_{1} W_{2}} & \frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2}-\lambda
\end{array}\right|=0, \\
& \Rightarrow \frac{\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]^{2}}{4}+\lambda^{2}-4 \gamma^{2} W_{1} W_{2}=0 . \tag{36}
\end{align*}
$$

Solving (36) we have

$$
\lambda_{1,2}= \pm \sqrt{4 \gamma^{2} W_{1} W_{2}-\frac{\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]^{2}}{4}}
$$

For $\lambda_{1}$ and $\lambda_{2}$ to be real, we need $4 \gamma^{2} W_{1} W_{2} \geq \frac{\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]^{2}}{4}=\frac{\kappa^{2}}{4}$,
where $\kappa=\Delta \beta-\gamma\left(W_{1}+W_{2}\right)$ is the net phase mismatch (linear plus nonlinear).
Substituting (31) in (27), we have
$A_{s}(z)=B_{s}^{\prime}(z) e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}$,
The solution of Eq. (35) has the form of
$B_{s}^{\prime}(z)=D_{1} \cosh \lambda z+D_{2} \sinh \lambda z$,
$\lambda=\left|\lambda_{1,2}\right|$.
No phase mismatch: $\kappa=0$,
i.e. $\gamma\left(W_{1}+W_{2}\right)=\Delta \beta$ and $\lambda=2 \gamma \sqrt{W_{1} W_{2}}$.
$B_{s}^{\prime}(z)=A_{s}(0) \cosh \lambda z+A_{i}^{*}(0) \sinh \lambda z$,
$B_{i}^{\prime}(z)=A_{i}(0) \cosh \lambda z+A_{s}^{*}(0) \sinh \lambda z$.
Therefore the equations for signal and idler are given by:
$A_{s}(z)=\left[A_{s}(0) \cosh \lambda z+A_{i}^{*}(0) \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}$,
$A_{i}(z)=\left[A_{i}(0) \cosh \lambda z+A_{s}^{*}(0) \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}$.
If input $\quad A_{s}(0)=A_{i}(0)=\left|A_{0}\right| e^{i \theta}, \quad$ then $\quad$ the parametric $\quad \operatorname{gain} G=\left|\frac{A_{s}(z)}{A_{s}(0)}\right|^{2}=$
$=\left|e^{i \theta} \cosh \lambda z+e^{-i \theta} \sinh \lambda z\right|^{2}$ depends on the input signal phase $\theta$, i.e. $G$ is phase
sensitive. When $\theta=0$, we have maximum phase-sensitive amplification, i.e. $G=e^{2 \lambda z}$. If $\theta=\frac{\pi}{2}$, then we have phase-sensitive deamplification: $G=e^{-2 \lambda z}$.

When there is phase mismatch: $\kappa \neq 0$.
$B_{s}^{\prime}(z)=D_{1} \cosh \lambda z+D_{2} \sinh \lambda z$.
If we put $z=0$ in the above equation, we have
$D_{1}=B_{s}^{\prime}(0)$.

Substituting $z=0$ in (31), we have
$D_{1}=B_{s}(0)$.
Substituting $z=0$ in (27), we have

$$
\begin{equation*}
D_{1}=A_{s}(0) . \tag{41}
\end{equation*}
$$

First derivative of (38) is given by

$$
\begin{equation*}
\frac{\partial B_{s}^{\prime}}{\partial z}=D_{1} \lambda \sinh \lambda z+D_{2} \lambda \cosh \lambda z \tag{42}
\end{equation*}
$$

Finding the derivative of (37) at $z=0$ and substituting the value of $B_{s}^{\prime}(0)$ from (38), we have

$$
\begin{equation*}
\frac{\partial A_{s}}{\partial z} /_{z=0}=D_{2} \lambda+\frac{i\left[\Delta \beta+3 \gamma\left(W_{1}+W_{2}\right)\right]}{2} A_{s}(0) . \tag{43}
\end{equation*}
$$

Substituting the value of $z=0$ in (25) and using $A_{1} A_{2}=\sqrt{W_{1} W_{2}}$, we have,

$$
\begin{equation*}
\frac{\partial A_{s}}{\partial z} /_{z=0}=2 i \gamma \sqrt{W_{1} W_{2}} A_{i}^{*}(0)+2 i \gamma\left(W_{1}+W_{2}\right) A_{s}(0) . \tag{45}
\end{equation*}
$$

From (43) and (45) we find that $D_{2}$ is given by

$$
\begin{equation*}
D_{2}=\frac{2 i \gamma \sqrt{W_{1} W_{2}} A_{i}^{*}(0)-\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2} A_{s}(0)}{\lambda} . \tag{46}
\end{equation*}
$$

Substituting the results from (41) and (46) in (38) and, in turn, substituting in (37), we obtain the solution for the signal and idler as

$$
\begin{aligned}
& A_{s}(z)=\left\{A_{s}(0) \cosh \lambda z+\frac{2 i \gamma \sqrt{W_{1} W_{2}} A_{i}^{*}(0)-\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2} A_{s}(0)}{\lambda} \sinh \lambda z e^{\frac{i \Delta \beta z}{2} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}=}\right. \\
& =A_{s}(0)\left\{\cosh \lambda z-\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2 \lambda} \sinh \lambda z\right\} e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}+ \\
& +A_{i}^{*}(0) \frac{2 i \gamma \sqrt{W_{1} W_{2}}}{\lambda} \sinh \lambda z e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}, \\
& A_{i}(z)=\left\{A_{i}(0) \cosh \lambda z+\frac{2 i \gamma \sqrt{W_{1} W_{2}} A_{s}^{*}(0)-\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2} A_{i}(0)}{\lambda} \sinh \lambda z\right\} e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}= \\
& =A_{s}^{*}(0) \frac{2 i \gamma \sqrt{W_{1} W_{2}}}{\lambda} \sinh \lambda z e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}}+ \\
& +A_{i}(0)\left\{\cosh \lambda z-\frac{i\left[\Delta \beta-\gamma\left(W_{1}+W_{2}\right)\right]}{2 \lambda} \sinh \lambda z\right\} e^{\frac{i \Delta \beta z}{2}} e^{\frac{3 i \gamma\left(W_{1}+W_{2}\right) z}{2}} \cdot
\end{aligned}
$$

Same phase sensitive behavior is also observed here. Phase of idler $A_{i}^{*}(0)$ term is given by $\frac{\pi}{2}-\theta$ and phase of $A_{s}(0)$ is given by $\theta-\tan ^{-1}\left[\frac{\Delta \beta-\gamma\left(W_{1}+W_{2}\right)}{2 \lambda} \tanh \lambda z\right]$. We have maximum amplification when both the phases are equal i.e. $\theta=\theta_{\max }$
$=-\frac{\pi}{4}-\frac{1}{2} \tan ^{-1}\left[\frac{\Delta \beta-\gamma\left(W_{1}+W_{2}\right)}{2 \lambda} \tanh \lambda z\right]$. We have phase-sensitive deamplification at
$\theta=\theta_{\max }+\frac{\pi}{2}$.

### 2.3 Degenerate Case

Four-wave mixing in degenerate pump case $\left(\omega_{1}=\omega_{2}\right)$ transfers the energy from strong pump wave to two waves up-shifted and down-shifted in frequency from the pump frequency by an amount $\Omega_{s}=\omega_{1-}-\omega_{s}=\omega_{i}-\omega_{1}$.

Our electric field definition is
$\bar{e}=\bar{E} e^{-i \omega t}+c . c$.

## Co-polarized four-wave mixing:

The pumps, signal and idler are linearly co-polarized.
$E_{y}=0$,

$$
E_{x}=E_{1} e^{-i \omega_{1} t}+E_{s} e^{-i \omega_{s} t}+E_{i} e^{-i \omega_{i} t}
$$

Nonlinear polarization of the medium has the form

$$
P_{x}=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left|E_{x}\right|^{2} E_{x}, \quad \text { where } A=6 \chi_{1122}^{(3)} \text { and } B=6 \chi_{1221}^{(3)} .
$$

The condition for four-wave mixing is $2 \omega_{1}=\omega_{i}+\omega_{s}$. We are interested in the polarization at frequency $\omega_{s}$. The combinations that can produce $\omega_{s}$ are

$$
\begin{aligned}
& \omega_{1}-\omega_{1}+\omega_{s}, \\
& \omega_{i}-\omega_{i}+\omega_{s}, \\
& \omega_{s}-\omega_{s}+\omega_{s},
\end{aligned}
$$

$$
\omega_{1}+\omega_{1}-\omega_{i}
$$

Therefore the polarization along $\omega_{s}$ is given by

$$
P_{x}\left(\omega_{s}\right)=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|E_{s}\right|^{2}+2\left(\left|E_{1}\right|^{2}+\left|E_{i}\right|^{2}\right)\right] E_{s}+E_{1}^{2} E_{i}^{*}\right\} .
$$

Similarly, the polarization at frequency $\omega_{i}$ is given by the following equation:

$$
P_{x}\left(\omega_{i}\right)=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|E_{i}\right|^{2}+2\left(\left|E_{1}\right|^{2}+\left|E_{s}\right|^{2}\right)\right] E_{i}+E_{1}^{2} E_{s}^{*}\right\} .
$$

The combinations that can produce $\omega_{1}$ are

$$
\begin{aligned}
& \omega_{1}-\omega_{1}+\omega_{1}, \\
& \omega_{i}-\omega_{i}+\omega_{1}, \\
& \omega_{s}-\omega_{s}+\omega_{1}, \\
& \omega_{s}+\omega_{i}-\omega_{1} .
\end{aligned}
$$

Therefore the polarization along $\omega_{1}$ is given by

$$
P_{x}\left(\omega_{1}\right)=\varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|E_{1}\right|^{2}+2\left(\left|E_{i}\right|^{2}+\left|E_{s}\right|^{2}\right)\right] E_{1}+2 E_{s} E_{i} E_{1}^{*}\right\} .
$$

## Wave Equation:

$$
\begin{align*}
& \nabla^{2} \bar{e}-\frac{1}{c^{2}} \frac{\partial^{2} \bar{e}}{\partial t^{2}}=\mu_{0} \frac{\partial^{2} \bar{p}}{\partial t^{2}}=\mu_{0} \frac{\partial^{2}}{\partial t^{2}}\left(\bar{p}^{l}+\bar{p}^{n l}\right),  \tag{47}\\
& \bar{e}=e^{-i \omega t} \sum_{j} \bar{E}_{j} e^{-i \omega_{j} t}+c . c .,  \tag{48}\\
& \bar{p}=e^{-i \omega t} \sum_{j} \bar{P}_{j} e^{-i \omega_{j} t}+c . c .,  \tag{49}\\
& \bar{P}_{j}=\bar{P}_{j}^{l}+\bar{P}_{j}^{n l}, \tag{50}
\end{align*}
$$

$$
\begin{equation*}
\bar{P}_{j}^{l}=\varepsilon_{0} \chi\left(\omega+\omega_{j}\right) \bar{E}_{j} . \tag{51}
\end{equation*}
$$

Substituting Eqs. (48)-(51) in (47) gives

$$
\begin{align*}
& e^{-i \omega t} \sum_{j}\left\{\nabla^{2} E_{j}+\frac{\left(\omega+\omega_{j}\right)^{2}}{c^{2}} E_{j}+\mu_{0}\left(\omega+\omega_{j}\right)^{2}\left[\varepsilon_{0} \chi\left(\omega+\omega_{j}\right) E_{j}+P_{j}^{n l}\right]\right\} e^{-i \omega_{j} t}+c . c .=0, \\
& \sum_{j}\left[\nabla^{2} E_{j}+\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}} E_{j}+\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l}\right] e^{-i\left(\omega+\omega_{j}\right) t}+c . c .=0, \\
& \nabla^{2} E_{j}+\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}} E_{j}=-\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l}, \tag{52}
\end{align*}
$$

where $\nabla^{2}=\frac{\partial^{2}}{\partial x^{2}}+\frac{\partial^{2}}{\partial y^{2}}+\frac{\partial^{2}}{\partial z^{2}}$ and $n_{j}^{2}=1+\chi\left(\omega+\omega_{j}\right)$.
We will look for the solution in the form of
$E_{j}=F_{j}(x, y) A_{j}(z) e^{i \beta_{j} z}$,
where $F_{j}(x, y)$ is the optical fiber mode profile and $\beta_{j}$ is its propagation constant.
When there is no nonlinearity:
$A_{j}(z)=$ const,

$$
\begin{equation*}
\nabla^{2} E_{j}=\left(\nabla_{x, y}^{2} F_{j}\right) A_{j} e^{i \beta_{j} z}-\beta_{j}^{2} F_{j} A_{j} e^{i \beta_{j} z}, \tag{54}
\end{equation*}
$$

Substituting (53) and (54) in (52), we get

$$
\begin{equation*}
\nabla_{x, y}^{2} F_{j}+\left[\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}}-\beta_{j}^{2}\right] F_{j}=0 . \tag{55}
\end{equation*}
$$

## Nonlinear case:

$A_{j}(z)$ is slowly varying with $z$ :
$\nabla^{2} E_{j}=A_{j}(z) e^{i \beta_{j} z} \nabla_{x, y}^{2} F_{j}+F_{j}\left(\frac{\partial^{2} A_{j}}{\partial z^{2}}+2 i \beta_{j} \frac{\partial A_{j}}{\partial z}-\beta_{j}^{2} A_{j}\right) e^{i \beta_{j} z}$,
Slowly Varying Envelope Approximation: $\frac{\partial^{2} A_{j}}{\partial z^{2}} \ll \beta_{j} \frac{\partial A_{j}}{\partial z}$, which means that $\frac{\partial A_{j}}{\partial z}$ changes over the length scale $\Lambda \gg \lambda$.

Substituting (56) and (53) in (52) and assuming $\frac{\partial^{2} A_{j}}{\partial z^{2}}=0$, we have:

$$
\begin{equation*}
e^{i \beta_{j} z}\left[A_{j} \nabla_{x, y}^{2}+2 i \beta_{j} \frac{\partial A_{j}}{\partial z}-\beta_{j}^{2} A_{j}+\frac{n_{j}^{2}\left(\omega+\omega_{j}\right)^{2}}{c^{2}} A_{j}\right] F_{j}=-\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l} \tag{57}
\end{equation*}
$$

Substituting the result from (55) in (57), we obtain

$$
2 i \beta_{j} F_{j} \frac{\partial A_{j}}{\partial z}=-\mu_{0}\left(\omega+\omega_{j}\right)^{2} P_{j}^{n l} e^{-i \beta_{j} z} .
$$

Since $\omega_{j} \ll \omega$, we can assume $\omega+\omega_{j} \approx \omega$. Therefore

$$
2 i \beta_{s} F_{s} \frac{\partial A_{s}}{\partial z}=-\mu_{0} \omega^{2} P_{s}^{n l} e^{-i \beta_{s} z}
$$

Substituting the result of $P_{x}\left(\omega_{s}\right)$ and (53) in the above we have the following right hand side:

$$
=-\mu_{0} \omega^{2} \varepsilon_{0}\left(A+\frac{B}{2}\right)\left\{\left[\left|F_{s}\right|^{2}\left|A_{s}\right|^{2}+2\left(\left|F_{1}\right|^{2}\left|A_{1}\right|^{2}+\left|F_{i}\right|^{2}\left|A_{i}\right|^{2}\right)\right] F_{s} A_{s}+F_{1}^{2} F_{i}^{*} A_{1}^{2} A_{i}^{*} e^{i\left(2 \beta_{1}-\beta_{s}-\beta_{i}\right) z}\right\}
$$

Let us multiply both sides with $F_{s}{ }^{*}$ and integrate over $\mathrm{d} x \mathrm{~d} y$. Then

$$
\begin{equation*}
\left|A_{j}\right|^{2}=W_{j}=\text { power [Watts] } \tag{58}
\end{equation*}
$$

$$
\begin{align*}
& \int\left|F_{j}(x, y)\right|^{2} \mathrm{~d} x \mathrm{~d} y=\frac{1}{2 \varepsilon_{0} n_{j} c}, \\
& \begin{aligned}
{\left[\left|F_{j}\right|^{2}\right]=\frac{1}{\left(2 \varepsilon_{0} n_{j} c\right) \times[\text { Area }]}-\text { dimension of }\left|F_{j}\right|^{2} \text {, and } }
\end{aligned} \\
& \begin{array}{r}
2 i \beta_{s} \frac{\partial A_{s}}{\partial z}=-\frac{\omega^{2}}{c^{2}}\left(A+\frac{B}{2}\right) \frac{1}{\int\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y}\left\{\left[\left|A_{s}\right|^{2} \int\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y+2\left(\left|A_{s}\right|^{2} \int\left|F_{1}\right|^{2}\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y+\right.\right.\right. \\
\left.\left.\left.\quad+\left|A_{i}\right|^{2} \int\left|F_{i}\right|^{2}\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y\right)\right] A_{S}+A_{1}^{2} A_{i}^{*} \int F_{1}^{2} F_{i}^{*} F_{s}^{*} \mathrm{~d} x \mathrm{~d} y e^{i \Delta \beta z}\right\}
\end{array} \tag{59}
\end{align*}
$$

Here $\Delta \beta=2 \beta_{1}-\beta_{s}-\beta_{i}$ is linear phase mismatch,

$$
\begin{equation*}
\frac{\int F_{m} F_{n} F_{k}^{*} F_{l}^{*} \mathrm{~d} x \mathrm{~d} y}{\int\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y} \approx \frac{\int\left|F_{s}\right|^{4} \mathrm{~d} x \mathrm{~d} y}{\int\left|F_{s}\right|^{2} \mathrm{~d} x \mathrm{~d} y}=\frac{1}{2 \varepsilon_{0} n_{s} c A_{\mathrm{eff}}}, \tag{60}
\end{equation*}
$$

and $A_{\text {eff }}$ is the effective area of the fiber.
Substituting (60) in (59) and solving for $\frac{\partial A_{s}}{\partial z}$, we have

$$
\begin{aligned}
\frac{\partial A_{s}}{\partial z} & =\frac{i \omega}{2 n_{s} c} \frac{\left(A+\frac{B}{2}\right)}{2 \varepsilon_{0} n_{s} c A_{\text {eff }}}\left\{\left[\left|A_{s}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{s}+A_{1}^{2} A_{i}^{*} e^{i \Delta \beta z}\right\} \\
& =\frac{i n_{2}^{l} \omega}{c A_{\text {eff }}}\left\{\left[\left|A_{s}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{s}+A_{1}^{2} A_{i}^{*} e^{i \Delta \beta z}\right\},
\end{aligned}
$$

where $n_{2}^{l}=\frac{A+B / 2}{4 \varepsilon_{0} n_{s}^{2} c}$. Then

$$
\begin{equation*}
\frac{\partial A_{s}}{\partial z}=i \gamma\left\{\left[\left|A_{s}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{s}+A_{1}^{2} A_{i}^{*} e^{i \Delta \beta z}\right\}, \tag{61}
\end{equation*}
$$

where $\gamma$ is nonlinear constant and is given by $\gamma=\frac{n_{2}^{l} \omega}{c A_{\text {eff }}}$. Similarly,

$$
\begin{align*}
& \frac{\partial A_{i}}{\partial z}=i \gamma\left\{\left[\left|A_{i}\right|^{2}+2\left(\left|A_{1}\right|^{2}+\left|A_{s}\right|^{2}\right)\right] A_{i}+A_{1}^{2} A_{s}^{*} e^{i \Delta \beta z}\right\},  \tag{62}\\
& \frac{\partial A_{1}}{\partial z}=i \gamma\left\{\left[\left|A_{1}\right|^{2}+2\left(\left|A_{s}\right|^{2}+\left|A_{i}\right|^{2}\right)\right] A_{1}+2 A_{s} A_{i} A_{1}^{*} e^{-i \Delta \beta z}\right\} . \tag{63}
\end{align*}
$$

Note the absence of the factor of 2 in front of the four-wave mixing term $A_{1}^{2} A_{s, i}^{*}$ in Eqs.
(61) and (62) in contrast to the non-degenerate pump case of Eqs. (14), (15).

## Undepleted pump approximation:

$$
\begin{equation*}
A_{s, i} \ll A_{1,2}, \tag{64}
\end{equation*}
$$

hence we can neglect the change in pump power due to parametric interaction.
Substituting the result from (64) in (63), we have

$$
\begin{equation*}
\frac{\partial A_{1}}{\partial z}=i \gamma\left|A_{1}\right|^{2} A_{1} \tag{65}
\end{equation*}
$$

Substituting (58) in (65), (61) and (62), we have

$$
\begin{align*}
& \frac{\partial A_{1}}{\partial z}=i \gamma W_{1} A_{1}  \tag{66}\\
& \Rightarrow A_{1}(z)=A_{1}(0) e^{i_{\gamma} W_{1} z} \tag{67}
\end{align*}
$$

and the signal and idler equations

$$
\begin{align*}
& \frac{\partial A_{s}}{\partial z}=i \gamma\left(2 W_{1} A_{s}+A_{1}^{2} A_{i}^{*} e^{i \Delta \beta z}\right),  \tag{68}\\
& \frac{\partial A_{i}}{\partial z}=i \gamma\left(2 W A_{i}+A_{1}^{2} A_{s}^{*} e^{i \Delta \beta{ }_{1}}\right) . \tag{69}
\end{align*}
$$

Let $A_{s}=B_{s}(z) e^{2 i \gamma W_{1} z}$,
and $A_{i}=B_{i}(z) e^{2 i \gamma W_{1} z}$.

Then

$$
\begin{align*}
& \frac{\partial B_{s}}{\partial z}=i \gamma W_{1} B_{i}^{*} e^{i\left[\Delta \beta-2 \gamma W_{1}\right] z},  \tag{72}\\
& \frac{\partial B_{i}}{\partial z}=i \gamma W_{1} B_{s}^{*} e^{i\left[\Delta \beta-2 \gamma W_{1}\right] z} \tag{73}
\end{align*}
$$

Here, we have assumed $A_{1,2}(0)=\sqrt{W_{1}}$, i.e. the initial pump phase is zero.
Let $B_{s}=B_{s}^{\prime} e^{\frac{i\left(\Delta \beta-2 \gamma W_{1}\right) z}{2}}$,
and $B_{i}=B_{i}^{\prime} e^{\frac{i\left(\Delta \beta-2 \gamma W_{1}\right) z}{2}}$.
Then

$$
\begin{align*}
& \frac{\partial B_{s}^{\prime}}{\partial z}=-\frac{i}{2}\left[\Delta \beta-2 \gamma W_{1}\right] B_{s}^{\prime}+i \gamma W_{1} B_{i}^{\prime^{*}}  \tag{76}\\
& \frac{\partial{B_{i}^{\prime *}}_{\partial z}^{\partial z}}{}=-i \gamma W_{1} B_{s}^{\prime}+\frac{i}{2}\left[\Delta \beta-2 \gamma W_{1}\right] B_{i}^{\prime^{*}} \tag{77}
\end{align*}
$$

Equations (76) and (77) can be represented in matrix form as

$$
\begin{align*}
& \frac{\mathrm{d}}{\mathrm{~d} z}\left[\begin{array}{c}
B_{s}^{\prime} \\
B_{i}^{\prime *}
\end{array}\right]=\left[\begin{array}{cc}
\frac{-i\left[\Delta \beta-2 \gamma W_{1}\right]}{2} & i \gamma W_{1} \\
-i \gamma W_{1} & \frac{i\left[\Delta \beta-2 \gamma W_{1}\right]}{2}
\end{array}\right]\left[\begin{array}{c}
B_{s}^{\prime} \\
B_{i}^{\prime *}
\end{array}\right] .  \tag{78}\\
& \text { Let } \mathrm{M}=\left[\begin{array}{cc}
\frac{-i\left[\Delta \beta-2 \gamma W_{1}\right]}{2} & i \gamma W_{1} \\
-i \gamma W_{1} & \frac{i\left[\Delta \beta-2 \gamma W_{1}\right]}{2}
\end{array}\right]
\end{align*}
$$

To find the eigenvalues of Eq. (78), we need to solve the following algebraic equation: $\operatorname{det}(M-\lambda I)=0, \quad$ or

$$
\begin{align*}
& \left|\begin{array}{cc}
\frac{-i\left[\Delta \beta-2 \gamma W_{1}\right]}{2}-\lambda & i \gamma W_{1} \\
-i \gamma W_{1} & \frac{i\left[\Delta \beta-2 \gamma W_{1}\right]}{2}-\lambda
\end{array}\right|=0, \\
& \Rightarrow \frac{\left(\Delta \beta-2 \gamma W_{1}\right)^{2}}{4}+\lambda^{2}-\gamma^{2} W_{1}^{2}=0 . \tag{79}
\end{align*}
$$

Solving (79) we have
$\lambda_{1,2}= \pm \sqrt{\gamma^{2} W_{1}^{2}-\frac{\left(\Delta \beta-2 \gamma W_{1}\right)^{2}}{4}}=\sqrt{\frac{\left(4 \gamma W_{1}-\Delta \beta\right) \Delta \beta}{4}}$.
For $\lambda_{1}$ and $\lambda_{2}$ to be real, we need $\gamma^{2} W_{1}^{2}>\frac{\left(\Delta \beta-2 \gamma W_{1}\right)^{2}}{4}=\frac{\kappa^{2}}{4}$, where $\kappa=\Delta \beta-2 \gamma W_{1}$ is the net phase mismatch (linear plus nonlinear). This condition is satisfied for $\gamma W_{1}>\Delta \beta / 4$, $\Delta \beta>0$. Substituting (74) in (70), and (75) in (71), we have

$$
\begin{align*}
& A_{s}(z)=B_{s}^{\prime}(z) e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z},  \tag{80}\\
& A_{i}(z)=B_{i}^{\prime}(z) e^{\frac{i \Delta \beta z}{2}} e^{i, W_{1} z} \tag{81}
\end{align*}
$$

The solution for Eq. (78) has the form of
$B_{s}^{\prime}(z)=D_{1} \cosh \lambda z+D_{2} \sinh \lambda z$,
$\lambda=\left|\lambda_{1,2}\right|$.

No phase mismatch: $\kappa=0$,
i.e. $\gamma W_{1}=\frac{\Delta \beta}{2}$ and $\lambda=\gamma W_{1}$.
$B_{s}^{\prime}(z)=A_{s}(0) \cosh \lambda z+A_{i}^{*}(0) \sinh \lambda z$
$B_{i}^{\prime}(z)=A_{i}(0) \cosh \lambda z+A_{s}^{*}(0) \sinh \lambda z$

Therefore the equations for signal and idler are given by:
$A_{s}(z)=\left[A_{s}(0) \cosh \lambda z+A_{i}^{*}(0) \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z}$,
$A_{i}(z)=\left[A_{i}(0) \cosh \lambda z+A_{s}^{*}(0) \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z}$.
If input $\quad A_{s}(0)=A_{i}(0)=\left|A_{0}\right| e^{i \theta}$, then the parametric gain $G=\left|\frac{A_{s}(z)}{A_{s}(0)}\right|^{2}=$ $=\left|e^{i \theta} \cosh \lambda z+e^{-i \theta} \sinh \lambda z\right|^{2}$ depends on the input signal phase $\theta$, i.e. $G$ is phase sensitive. When $\theta=0$, we have maximum phase-sensitive amplification, i.e. $G=e^{2 \lambda z}$. If $\theta=\frac{\pi}{2}$, then we have phase-sensitive deamplification: $G=e^{-2 \lambda z}$.

When there is phase mismatch: $\kappa \neq 0$.
$B_{s}^{\prime}(z)=D_{1} \cosh \lambda z+D_{2} \sinh \lambda z$.

If we put $z=0$ in the above equation, we have
$D_{1}=B_{s}^{\prime}(0)$.

Substituting $z=0$ in (74) we have

$$
D_{1}=B_{s}(0) .
$$

Substituting $z=0$ in (70) we have
$D_{1}=A_{s}(0)$.
First derivative of (82) is given by

$$
\begin{equation*}
\frac{\partial B_{s}^{\prime}}{\partial z}=D_{1} \lambda \sinh \lambda z+D_{2} \lambda \cosh \lambda z \tag{86}
\end{equation*}
$$

Finding the first derivative of (80) at $z=0$ and substituting the value of $B_{s}^{\prime}(0)$ from (82), we have

$$
\begin{equation*}
\frac{\partial A_{s}}{\partial z} /_{z=0}=D_{2} \lambda+\frac{i\left(\Delta \beta+2 \gamma W_{1}\right)}{2} A_{s}(0) . \tag{87}
\end{equation*}
$$

Substituting the value of $z=0$ in (61) and using $A_{1}^{2}=W_{1}$, we have

$$
\begin{equation*}
\frac{\partial A_{s}}{\partial z} /_{z=0}=i \gamma W_{1} A_{i}^{*}(0)+2 i \gamma W_{1} A_{s}(0) . \tag{88}
\end{equation*}
$$

From (87) and (88) we find that $D_{2}$ is given by

$$
\begin{equation*}
D_{2}=\frac{i \gamma W_{1} A_{i}^{*}(0)-\frac{i\left(\Delta \beta-2 \gamma W_{1}\right)}{2} A_{s}(0)}{\lambda} \tag{89}
\end{equation*}
$$

Substituting the results from (85) and (89) in (82) and, in turn, substituting in (80), we obtain the solution for the signal and idler as

$$
\begin{aligned}
A_{s}(z)= & {\left[A_{s}(0) \cosh \lambda z+\frac{i \gamma W_{1} A_{i}^{*}(0)-\frac{i\left(\Delta \beta-2 \gamma W_{1}\right)}{2} A_{s}(0)}{\lambda} \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z}=} \\
& =A_{s}(0)\left[\cosh \lambda z-\frac{i\left(\Delta \beta-2 \gamma W_{1}\right)}{2 \lambda} \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z}+A_{i}^{*}(0) \frac{i \gamma W}{\lambda} \sinh \lambda z e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z}, \\
A_{i}(z)= & {\left[A_{i}(0) \cosh \lambda z+\frac{i \gamma W_{1} A_{s}^{*}(0)-\frac{i\left(\Delta \beta-2 \gamma W_{1}\right)}{2} A_{i}(0)}{\lambda} \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z}=} \\
= & A_{s}^{*}(0) \frac{i \gamma W_{1}}{\lambda} \sinh \lambda z e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1} z}+A_{i}(0)\left[\cosh \lambda z-\frac{i\left(\Delta \beta-2 \gamma W_{1}\right)}{2 \lambda} \sinh \lambda z\right] e^{\frac{i \Delta \beta z}{2}} e^{i \gamma W_{1 z}} .
\end{aligned}
$$

Same phase-sensitive behavior is also observed here. Phase of $A_{i}^{*}(0)$ term is given by $\frac{\pi}{2}-\theta$ and phase of $A_{\mathrm{s}}(0)$ term is given by $\theta-\tan ^{-1}\left(\frac{\Delta \beta-2 \gamma W_{1}}{2 \lambda} \tanh \lambda z\right)$. We have maximum amplification when both phases are equal, i.e. $\theta=\theta_{\max }$ $=-\frac{\pi}{4}-\frac{1}{2} \tan ^{-1}\left(\frac{\Delta \beta-2 \gamma W_{1}}{2 \lambda} \tanh \lambda z\right)$. We have phase-sensitive deamplification at $\theta=$ $\theta_{\max }+\frac{\pi}{2}$.

Thus we have derived the solutions for nonlinearly coupled wave equations of parametric amplifier. We have shown that phase-sensitive amplification can be obtained in both phase-matched and phase-mismatched cases with either one or two pumps.

If only signal is present at the input, then the parametric amplifier operates in phase-insensitive regime. Indeed, the gain in that case is given by $G=\left|\frac{A_{s}(z)}{A_{s}(0)}\right|^{2}=\left|\cosh \lambda z-\frac{i\left(\Delta \beta-2 \gamma W_{1}\right)}{2 \lambda} \sinh \lambda z\right|^{2}=1+\left(\frac{\gamma W_{1}}{\lambda}\right)^{2} \sinh ^{2} \lambda z \quad$ and does not depend on $\theta$. Figure 2.1 shows the phase-insensitive-amplification gain for degenerate pump case when the pump power $W_{1}$ varies from 0 to 1 Watt in the increments of 100 mW , dispersion $D=+1 \mathrm{ps} / \mathrm{nm} / \mathrm{km}$ at the pump wavelength $\lambda_{p}=1550 \mathrm{~nm}$, length of the fiber $L=1 \mathrm{~km}$, and $\gamma=10 \mathrm{~km}^{-1} \mathrm{Watt}^{-1}$ (typical for highly nonlinear fiber). The linear phase mismatch $\Delta \beta=-\beta^{\prime \prime} \omega_{s}^{2}$, where $\beta^{\prime \prime}$ and $D$ are related as $D=-\frac{2 \pi c}{\lambda_{p}^{2}} \beta^{\prime \prime}$. The phaseinsensitive gain when the signal wavelength is 1550 nm is given by $G=1+\left(\gamma W_{1} L\right)^{2}$.


Figure 2.1. Phase-insensitive-amplification gain for degenerate pump case.

## CHAPTER 3

## DESIGN AND EXPERIMENTAL IMPLEMENTATION OF OPTICAL

## AMPLIFIER CONTROLS

### 3.1 Introduction

Parametric amplifiers and other nonlinear devices to be tested in our lab will be characterized by experimental recirculating-loop setup. While many of our devices are targeted for ultra-long-haul applications with transmission over dozens of fiber spans and thousands of kilometers, the cost and space considerations do not permit us to have more than several fiber spans in the lab. The recirculating loop allows characterization of the ultra-long-haul transmission performance with just a few spans of fiber by putting them in a loop and letting the signals circulate through it for many times before we take the measurements.

### 3.2 Experimental Setup

Figure 3.1 shows a schematic diagram of the experimental setup of recirculating loop to be built in our lab. 36 distributed feedback (DFB) lasers spaced by 100 GHz are multiplexed with an arrayed-waveguide grating (AWG) and modulated using a $10 \mathrm{~Gb} / \mathrm{s}$ electro-optical modulator. To compensate for the losses of modulator and multiplexer we use an EDFA. The EDFA is followed by a variable optical attenuator and an acousto optic switch (AOS 1). The optical signal is fed into the recirculating loop via a $3-\mathrm{dB}$ (50/50) coupler. In the recirculating loop we have 80 km of non-zero
dispersion shifted fiber (NZDSF) made by Corning Inc. $\left(\operatorname{LEAF}^{\circledR}\right)$, a variable optical attenuator and an acousto-optic switch (AOS 2). To compensate the dispersion of the NZDSF fiber, we use 2.5 km of dispersion-compensating fiber (DCF).


Figure 3.1. Experimental setup.
The acousto optic switches work in counter-phase: when first switch turns on, the second switch turns off, and vice versa. When AOS 1 is open, the signal goes into the $3-\mathrm{dB}$ coupler and half of it goes into the recirculating loop. By the time the signal comes to the end of the loop, AOS 1 closes and AOS 2 opens. The signal circulates in the loop as long as AOS 2 is open. We can set the timing of the switches using a delay generator. The variable optical attenuator is designed to balance gain and loss after each round-trip so that the total signal powers after each round-trip are the same. This balance operation eliminates the wild transients of EDFAs that can damage the optical components in the loop.

We use 0.2 -nm-wide optical band pass filter (OBPF) as a demultiplexer to select the channel to be measured. This channel is pre-amplified by an EDFA which is followed by another 0.2 nm OBPF which prevents the out-of-band spontaneous emission noise from entering the $10 \mathrm{~Gb} / \mathrm{s}$ receiver Rx .

### 3.3 Erbium-doped fiber amplifiers

The erbium-doped fiber amplifier (EDFA) was first reported in 1987 [14, 15], and, in the short period since then, its applications have transformed the optical communication industry. The EDFA is an optical amplifier that faithfully amplifies lightwave signals purely in the optical domain. EDFAs have several functions in optical fiber transmission systems. They can be used as power amplifiers to boost transmitter power, as repeaters or in-line amplifiers to increase system reach, or as pre-amplifiers to enhance receiver sensitivity [16]. Optical amplifiers support the use of wavelength division multiplexing (WDM), whereby signals of different wavelengths are combined and transmitted together on the same transmission fiber. Erbium-doped fiber amplification is the key technology that enabled the deployment of multichannel WDM systems. In order to enable this growth in capacity the EDFAs have evolved to provide a higher performance and greater functionality.

In order to support the growth of channels in WDM transmission systems, optical amplifiers with wide bandwidth are required. The gain of amplifiers must be very uniform over the entire WDM transmission bandwidth for the channels to be transmitted without impairments due to either nonlinear effects in fiber or due to poor optical signal to noise ratio (OSNR) at the receiver. The power spectrum tilt in
wideband systems can arise from several reasons, such as EDFA gain tilt, spectral loss in transmission fiber, dispersion compensation fiber or other passive components, variation in input signal power due to uneven fiber span loss, and Raman effect.

In transmission system, the wide bandwidth of the amplifiers has to be maintained while accommodating the variations in the losses of fiber spans deployed in the field. The mid-stage attenuators provide control of the gain tilt of the amplifier over a wide range of variations in the span loss. This is achieved by maintaining the average inversion level of EDFA constant by changing the attenuator loss. The use of the attenuators, however, raises the concern about the increase of EDFA noise figure leading to the end-of-system OSNR degradation.

Optical amplifier gain is defined as the ratio of the output signal power to the input signal power,

$$
\begin{equation*}
G(\lambda) \equiv \frac{P_{\text {out }}}{P_{\text {in }}}=\int_{0}^{L} g(\lambda, z) \mathrm{d} z, \tag{90}
\end{equation*}
$$

and is obtained by integrating the gain coefficient $g(\lambda)$ over the length $L$ of the erbiumdoped fiber. The gain coefficient, normally expressed in units of decibels per meter, is the sum of the emission coefficient $g^{*}=\Gamma_{s} n_{\mathrm{Er}} \sigma_{e}(\lambda)$ and the absorption coefficient $\alpha(\lambda)=\Gamma_{s} n_{\mathrm{Er}} \sigma_{a}(\lambda)$ weighed by the fractional populations $N_{2}$ and $N_{1}$, respectively, of the first excited and ground states of erbium:

$$
\begin{equation*}
g(\lambda, z)=\frac{1}{P(\lambda, z)} \frac{\mathrm{d} P(\lambda, z)}{\mathrm{d} z}=g^{*} N_{2}(z)-\alpha(z) N_{1}(z) \tag{91}
\end{equation*}
$$

where $n_{\mathrm{Er}}$ is the maximum concentration of erbium ions in the core, $\Gamma_{s}$ is the overlap integral of the signal mode and the erbium concentration profile, and $\sigma_{e}(\lambda)$ and $\sigma_{a}(\lambda)$ are, respectively, the emission and absorption cross sections as functions of wavelength. The gain bandwidth of the EDFA extends from about 1525 to about 1600 nm , primarily as a result of the Stark splitting experienced by the high angular momentum ground and first excited states of the erbium ions in the local electric fields in the glass matrix. The gain spectrum, which is determined by the distribution of the Stark split sublevels and the thermal distribution of their populations, is not flat, and its shape changes with the level of inversion. Wysocki has shown that in an amplifier or in an amplified system the wavelength where the gain peaks can be predicted using average gain per unit length of the erbium-doped fiber to characterize the average inversion [17, 18]. It can be shown from (90) and (91) that the aggregate gain spectrum for an amplifier or system of amplifiers is given by the gain coefficient averaged over the length of erbium-doped fiber in the amplifier or system:
$\bar{g}(\lambda)=g^{*}(\lambda) \bar{N}_{2}-\alpha(\lambda) \bar{N}_{1}=\left[g^{*}(\lambda)+\alpha(\lambda)\right] \bar{N}_{2}+\alpha(\lambda)$,
where the overbars indicate taking the average over the length of all the erbium-doped fiber in the amplifier system.

Figure 3.2 shows the gain coefficient as a function of average excited-state population $\bar{N}_{2}$ for a typical aluminum co-doped silica erbium-doped fiber. By setting $\bar{N}_{2}$ to zero and then one, we see that $g^{*}$ and $\alpha$ are the gain and absorption per unit length of an EDFA in the limits of infinite and no pump, respectively. The intermediate
curves come from the uniparameter family of functions, which represent all gain spectra available from the erbium-doped fiber itself. Thus, $\bar{N}_{2}$ or closely related to it average inversion $\bar{N}_{2}-\bar{N}_{1}$, impact the spectral shape of the EDFA's gain.


Figure 3.2. Gain per unit length of aluminum co-doped silica erbium doped fiber for relative excited-state population $\bar{N}_{2}$ ranging from 0 to 1.0 in increments of 0.1[19].

Let us discuss several key blocks of a modern EDFA.

## Pre-amplifier Stage:

An optical preamplifier is designed primarily to achieve a low noise figure with about $20-30 \mathrm{~dB}$ of small-signal gain. This requires a low input coupling loss. A low-insertionloss, high-isolation, polarization-independent isolator must be placed at the input to
prevent optical feedback from reflections that could result in lasing, with minimum degradation of the noise figure. It is also important to keep the average inversion level at the input as high as possible. This can be accomplished in a single stage amplifier by using a short segment of erbium-doped fiber and reverse pumping to minimize input coupling losses [16]. Multistage designs are not required to achieve these goals. However, in WDM systems requiring additional gain to compensate for the demultiplexer losses following the optical pre-amplifier, multistage pre-amplifier designs are generally used.

Optical pre-amplifiers are usually pumped at 980 nm because complete inversion is possible at this pumping wavelength. As long as the amplifier architecture allows sufficient 980 nm pump light near the input so that inversion is high there, quantum-limited noise figures approaching 3 dB are possible.

## Power amplifier Stage:

A power amplifier is used to launch high-power signals to extend the transmission distance, or to permit splitting the signal. The erbium-doped fiber in a power amplifier is designed for the most efficient conversion of pump energy into signal energy [16]. In a single-stage amplifier, the length of the single erbium-doped fiber is made as long as possible so that the pump light is converted to signal energy as completely as possible. Additional pumps may be used to further increase the net output power. For amplifiers with two or more stages, interstage elements such as filters or isolators may be used to keep amplified spontaneous emission (ASE) from propagating and reducing the net inversion in the following or preceding stage. Pump reflectors,
which transmit the signal wavelengths, may be used to return into the gain medium unabsorbed pump light that would otherwise be lost.

Amplifiers using a hybrid of 1480 and 980 nm lasers have been designed with high output powers in the range of +20 dBm . The advantages of hybrid pumping over pumping at only 1480 nm include the suppression of pump crosstalk and the lowering of power consumption. The major drawback is the difficulty in providing pump redundancy.

## Variable Optical Attenuator (VOA):

The gain tilt control is very important for the operation of WDM systems and networks. As we mentioned above, the variations in input signal power of EDFA will cause gain tilt at output. In addition, the spectrum of WDM channels after transmission through fiber can acquire positive (versus wavelength) linear tilt due to Raman effect which transfers power from shorter- to longer-wavelength channels [20]. It may be desirable to have a negative tilt in the spectrum of channels at the output of the amplifier in order to compensate for the Raman effect in the transmission fiber. Both of these conditions can be accommodated by controlling the gain tilt in the EDFA by a mid-stage VOA. This attenuator changes the power entering the power stage of EDFA which affects the inversion level and, therefore, the gain tilt. If the amount of VOA loss is significantly less than the pre-amplifier gain, then the net noise figure of two stage EDFA is largely determined by the pre-amplifier noise figure.

### 3.4 Operation of Erbium-doped fiber amplifiers

In our lab we are fortunate to have four EDFAs that were designed by Corning Inc. for Nortel (C+L)-band 160-channel Optera ${ }^{\circledR}$ system. The amplifiers we have are the high power ( +18 dBm ) L-band modules which operate in $1565-1605 \mathrm{~nm}$ region.


Figure 3.3. Schematic of two-stage EDFA.
Figure 3.3 shows the operational block diagram of our EDFA. The front stage (pre-amplifier) stage is in high-inversion regime and the power amplifier stage is in low inversion regime. The nominal signal power to our EDFA is +5 dBm and the output power is +18 dBm . The gain curve is flat for these conditions of operation. We have three pumps operating at $1480 \mathrm{~nm}, 1480 \mathrm{~nm}$ and 1480 nm respectively. The maximum pump current for pump1, pumps 2 and 3 is 650 mA . If the input power is less than +5 dBm then the amplifier works in high-inversion regime, and if the input power is greater than +5 dBm then the amplifier works in low-inversion regime. The preamplifier stage has approximately constant gain and power-amplifier stage has constant output power.

If the total average input power is +5 dBm , then the output power is +18 dBm , and the average gain of the system is 13 dB . If we increase the input power to +10 dBm ,
the output power is still +18 dBm , therefore the overall gain is reduced to 8 dB , and amplifier is forced into lower-inversion regime causing positive gain tilt. In order to keep the gain curve flat, an extra attenuation is introduced by placing a VOA between pre-amplifier and power amplifier stages. If the signal power is less than +5 dBm then the amplifier is in high-inversion regime. We need to reduce the output power by adjusting the output pump currents so that the 13 dB gain is preserved, then the gain curve becomes flat. The noise figure is constant in the high-inversion regime ( +5 dBm and lower input powers), but increases rapidly when higher signal power forces EDFA into a low-inversion regime.


Figure 3.4. EDFA spectral tilt when the input signal power is 0 dBm , +5 dBm and +10 dBm .

### 3.5 Interface between EDFAs and our lab equipment

EDFAs that we have in our lab are supposed to be placed in Nortel rack which has a back plane with power supplies and laser diode drivers. Since we do not have Nortel rack, we need to build an interface between the EDFA and our lab equipment.


Figure 3.5. Schematic representing the connections between the amplifier and Newport controller 8016.

The Newport laser diode/ thermo-electric cooler (LD/TEC) controller 8016 has a 9-pin female interlock connector (pins 1 and 2 are shorted for laser diodes to operate) and sixteen plug-in boards (with 15-pin female connector on the back), each including laser diode driver and thermo-electric cooler controller. The 15-pin female connector on the Newport controller is connected to our home-made cable which on one end has three 15 -pin male connectors and on the other end has a 37-pin female connector. We
designed the cable interface between Newport controller and EDFA as shown in Figure 3.5. The picture of the cable is shown in Figure 3.6.


Figure 3.6. Cable interface between Newport 8016 LD/TEC controller and EDFAs.

The EDFA has a 50 -pin connector for LD/TEC control and a 70- pin connector for VOA control.


Figure 3.7. Schematic showing the layout of the EDFA and pin configuration of 50-pin connector on the EDFA.

The 37-pin female connector on our home-made cable is connected to the 37-pin male connector on the back of the amplifier box. EDFAs are fixed to the base of the box. The EDFA has a 50-pin connector (J1) and a 70-pin connector (J2). The other end of the 37- pin male connector on the amplifier box is in turn connected to J 1 on the EDFA. J2 on the EDFA is connected to the Variable Optical Attenuator (VOA) and is discussed in next section.

The amplifier is fixed on the bottom of the amplifier box which on the front face has push buttons that control the variable optical attenuator and on the back face has two 37-pin male connectors connected to the cable interface as shown in Figure 3.8.


Figure 3.8. Front and back faces of the amplifier box.
The pin connections between pump1, pump2, pump3 and the 37-pin connector are shown in Figures 3.9, 3.10 and 3.11, respectively.


Figure 3.9. Pin connections between pump 1 and 50-pin connector on the amplifier.


Figure 3.10. Pin connections between pump 2 and 50-pin connector on the amplifier.


Figure 3.11. Pin connections between pump 3 and 50-pin connector on the amplifier.

## How to turn on the pumps:

After connection, check that all TECs are reporting room temperature correctly, i.e. $\sim 23 \mathrm{C}$ and not -8.3 C or +353 C or something odd. Then switch on the TECs one at a time, checking that each TEC is controlled to its set point $\left(25^{\circ} \mathrm{C}\right)$. After all the TECs are successfully controlled you can switch on the laser diodes, in the order from pump 1 , pump 2, pump 3. The pumps should be turned off in the backward order (the pump turned on last should be turned off first).

The $1480-\mathrm{nm}$ diodes should not be driven above 650 mA

Table 3.1. 15-Pin Connector Pinouts.

| Pin | Description |  |
| :---: | :---: | :---: |
| 1 | TE+ | Can be tw |
| 2 | TE- | - wires each |
| 3 | Thermistor + |  |
| 4 | Thermistor- |  |
| 5 | PD Anode |  |
| 6 | PD Cathode |  |
| 7 | LD Anode |  |
| 8 | LD Cathode |  |
| 9 | TEC V Sense + | Not Used |
| 10 | TEC V Sense- |  |
| 11 | Interlock | Shorted inside |
| 12 | Interlock (GND) | connector |
| 13 | No Connect |  |
| 14 | LDD V Sense+ | $\}$ Not Used |
| 15 | LDD V Sense- |  |

Table 3.2. List of hardware purchased for cable interface.

| Stock Number/Description Mouser | Price | Stock Number/Description Allied Electronics | Price |
| :---: | :---: | :---: | :---: |
| 523-17D-15P <br> MFG PN: 17 -DA15P <br> 15P PLUG SOLDER CUP <br> Amphenol D-Subminiature Connectors | \$2.10 | 216-3727 <br> MFG PN: 9751 CHROME CX/100 BELDEN WIRE AND CABLE CABLE | \$92.18 |
| 523-17-1657-15 <br> MFG PN: 17-1657-15 <br> D-SUB HOOD CAST ZINC <br> Amphenol D-Subminiature Connectors | \$3.05 | 618-3927 <br> MFG PN: 151250 -8322-TB <br> 3M/INTERCONNECT SOLUTIONS <br> 50 pin Connector | \$6.10 |
| 523-17D-C375 <br> MFG PN: 17 -DC37S <br> 37P RECPT SOLDER CUP <br> Amphenol D-Subminiature Connectors | \$8.17 | 708-7251 <br> MFG PIN: FIT221 $3 / 4$ 4` BLACK BX/5 <br> ALPHA WIRE <br> Heat Shrink | \$12.25 |
| 523-17D-C37P <br> MFG PN: 17 -DC37P <br> 37P PLUG SOLDER CUP <br> Amphenol D-Subminiature Connectors | \$5.83 |  |  |
| 523-1731657-37 <br> MFG PN: 17-1657-37 <br> D-SUB COMM ACC <br> Amphenol D-Subminiature Connectors | \$5.16 |  |  |

### 3.6 Variable Optical Attenuator

The Nortel amplifier has VOA inside driven by a stepper motor controlled by TTL control voltages. To provide this control functionality, we have built the circuit shown in Figure 3.12.

The controller inputs for the VOA are INCrement and DECrement.

- INC: The INCrement input is used to increment the stepper motor within the VOA assembly. INCrementing the stepper motor means to INCrease the attenuation. For each high to low logic transition applied to the INCrement input, the stepper motor will rotate one step in the CW (clockwise) direction. The maximum INCrement step rate is limited to 488 Hz . The minimum pulse width must be $\geq 256 \mu s$ [either in a high (logic " 1 ") or low (logic " 0 ") state].


Figure 3.12 Variable Optical Attenuator circuit.

- DEC: The DECrement input is used to decrement the stepper motor within the VOA assembly. DECrementing the stepper motor means to DECrease the attenuation. For each high to low logic transition applied to the DECrement input, the stepper motor will rotate one step in the CCW (counterclockwise) direction. The maximum DECrement step rate is limited to 488 Hz . The minimum pulse width must be $\geq 256 \mu s$ [either in a high (logic " 1 ") or low (logic "0") state].
- VOA $+5 \mathrm{~V} / \mathrm{GND}$ : The input voltage range is $+4.57 \leq V_{\mathrm{cc}} \leq+5.25$ Volts. Positive +5 V DC is connected to $\mathrm{VOA}+5 \mathrm{~V}$ pin 68 of J 2 on the amplifier. The GND is pin 34 or 63 of J 2 ( 34 and 63 are connected on the amplifier board). J2 refers to the amplifier's 70-pin connector.

Table 3.3. Components required for building the VOA circuit.

| Derignatior | Pat Description |  |
| :---: | :---: | :---: |
| 豤1 | 39\%9 |  |
| R2 | 370 |  |
| R4 | 500 |  |
| R ${ }^{\text {c }}$ | 502 |  |
| R7 | 1.540 |  |
| B8 | 2910 |  |
| C] | .1/fif |  |
| C | .$_{1} \hat{E}^{6}$ |  |
| C4 | . $01 / \mathrm{p}$ |  |
| D1 | 124143 |  |
| D ${ }^{\text {c }}$ | 1 F 143 |  |
| PRI | Sigual luersam | Al Pugh Buthan <br> are momentary th |
| PB2 | Sigail Decneam | nowally ypened |
| PD4 | Bhedy Lacrease | bel low bunce. |
| PE5 | Sreny Desume |  |
| IC: | 555 Tuaer Bpи |  |
| IC2 | 74ticlit 14pun schaucl Thyer |  |
| Powner Supply | +SVdc, 600 ma |  |

The pin configuration of 70-pin connector to which the VOA circuit is connected is shown in Figure 3.13.


Figure 3.13. Pin configuration of the 70-pin connector on the EDFA.

### 3.7 Experimental Results

To demonstrate the operation of the designed interface and the circuit board a series of measurements have been performed. The first measurement demonstrates the dependence of the gain tilt on the value of mid-stage attenuation. When the variable optical attenuator is fully open, we have minimum attenuation, and when the VOA is closed, we have maximum attenuation. We utilize an input signal from tunable laser to saturate the amplifier, and use the output amplified spontaneous emission spectrum as an indicator of the gain shape.


Figure 3.14. Output responses when the VOA is fully closed, partially opened, and fully open.

Figure 3.14 shows the EDFA output spectrum curves for maximum attenuation, minimum attenuation and flat-gain response when the input signal power is +7 dBm , and the pump currents are $200 \mathrm{~mA}, 500 \mathrm{~mA}$ and 500 mA for pumps 1,2 , and 3 ,
respectively. One can see that, for +7 dBm input power, finite VOA attenuation is needed in order to compensate the positive tilt and achieve flat gain.

The next set of measurements deals with the dependence of gain on input power.
Figure 3.15 shows the output spectra when the input power is $+4.5 \mathrm{dBm},+7 \mathrm{dBm}$, and 0 dBm ; VOA is completely open and the pump currents are $250 \mathrm{~mA}, 600 \mathrm{~mA}$ and 600 mA for pumps 1,2 and 3 , respectively. One can easily see that the change from negative (high inversion) to positive (low inversion) tilt as the input power increases from 0 to +7 dBm .


Figure 3.15. Output response when the input signal power is 0 dBm , +4.5 dBm , and +7 dBm ; VOA is fully opened, and the pump currents are $250 \mathrm{~mA}, 600 \mathrm{~mA}$, and 600 mA .

In our amplifier, the flat response was obtained at +4.5 dBm input power which is slightly different from the nominal power for flat spectrum. A flat response can be
produced at +5 dBm input power by increasing the pump currents to $650 \mathrm{~mA}, 650 \mathrm{~mA}$ and 650 mA on pumps 1,2 and 3 , respectively.

The dependence of the gain tilt on VOA attenuation and input power level, demonstrated by Figures 3.14 and 3.15, is in line with our expectations described in Section 3.4. Therefore, we can conclude that our interface and control circuitry are working properly.

## CHAPTER 4

## CONCLUSIONS

This work is focused on laying down a better foundation for testing of parametric amplifiers and other nonlinear devices in our lab.

We have derived the equations of parametric amplification in optical fiber and found their solutions when signal, idler and pump beams are linearly co-polarized. We have considered both non-degenerate and degenerate pump cases with arbitrary phase mismatch and proved that the amplifier can perform phase-sensitive amplification in both cases.

We have also designed an experimental recirculating-loop setup for testing all the nonlinear devices to be used in our lab, an interface between telecom-industry EDFAs and the laser diode drivers and thermo electric coolers used in our lab, and a control circuit for variable optical attenuator (VOA) which enables the amplifier to operate at various input power levels.

We have also performed a series of measurements that demonstrate the flawless operation of the designed interface and VOA circuit board.

## Future work

The optical amplifiers designed in this work are the key components of recirculating-loop testbed described in chapter 3.2. The recirculating-loop testbed will be used to test the parametric amplifiers and other nonlinear-optical devices.

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